Contents lists available at ScienceDirect

Acta Astronautica

journal homepage: www.elsevier.com/locate/actaastro

Estimate of sizes of small asteroids (cosmic bodies) by the method of stroboscopic radiolocation

V.D. Zakharchenko, I.G. Kovalenko*, O.V. Pak

Physicotechnical Institute, Volgograd State University, Universitetskij Prospekt, 100, Volgograd 400062, Russia

ARTICLE INFO

Article history: Received 1 October 2014 Accepted 8 December 2014 Available online 16 December 2014

Keywords: Near-Earth objects Asteroids Radar observations Stroboscopic measurements

ABSTRACT

Radiolocation methods of probing minor celestial bodies (asteroids) by the nanosecond pulses can be used for monitoring of near-Earth space with the purpose of identification of hazardous cosmic objects able to impact the Earth.

Development of the methods that allow us to improve the accuracy of determining the asteroids size (i.e. whether it measures tens or hundreds meters in diameter) is important for correctly estimating the degree of damage which they can cause (either regional or global catastrophes, respectively). In this paper we suggest a novel method of estimating the sizes of the passive cosmic objects using the radiolocation probing by ultra-high-resolution nanosecond signals to obtain radar signatures. The modulation envelope of the reflected signal, which is a radar portrait of the cosmic object, is subjected to time scale transformation to carrier Doppler frequency by means of radioimpulse strobing. The shift of a strobe within the probing period will be performed by radial motion of the object which will allow us to forgo the special autoshift circuit used in the oscillographic technical equipment.

The measured values of duration of radiolocation portrait can be used to estimate the mean radius of the object by using the average spatial length of the portrait. The method makes it possible to appraise the sizes of cosmic objects through their radiolocation portrait duration, with accuracy that is independent of the objects range.

© 2014 IAA. Published by Elsevier Ltd. All rights reserved.

1. Introduction

Radiolocation methods of probing of passive cosmic objects (large meteors and asteroids) can be used for surveying the near-Earth space for the purpose of recognition of objects that present danger upon impact with the Earth.

It is known that cosmic objects smaller than 10 m in size do not reach Earths surface, burning up in the atmosphere [1], and thus are not dangerous for the planets population. The bodies that are tens meters across are able

E-mail addresses: zakharchenko_vd@mail.ru (V.D. Zakharchenko), ilya.g.kovalenko@gmail.com (I.G. Kovalenko), olpak1@mail.ru (O.V. Pak).

http://dx.doi.org/10.1016/j.actaastro.2014.12.006 0094-5765/© 2014 IAA. Published by Elsevier Ltd. All rights reserved. to explode and cause serious destruction, while the objects with a size of hundreds meters in extent and larger would lead to a regional or global disaster. With that, the bodies ranging specifically from 70 to 200 m in diameter present the maximum danger for the humanity in its characteristic timescale, since they have greater probability of impacting the Earth than the larger bodies and their average destructive effect is maximal (NASA NEO STD Report [2,3]). Thus the questions of improving accuracy for estimating sizes of cosmic bodies crossing the Earths orbit are relevant even at present and the interest in them will only increase.

The shortcomings of the optical methods for measurement of linear dimensions of celestial bodies are that error increases proportionally with distance to the measured object. The reason for that is that the optical systems of measurement







^{*} Corresponding author. Tel.: +7 8442 460812.

are actually angular observations, and consequently the errors in angle measurement result in errors of estimating diameters proportional to the monitored objects distance. Besides, all optical means of ground-based observation are subject to errors due to atmospheric opacity and turbulence. Radar probing methods are free from the aforementioned drawbacks, their resolution is determined by the properties of the signals used and does not depend on distance.

The location of the radar systems is suggested at the geostationary orbit for the permanent monitoring of potentially dangerous directions. The advantage of this location is the absence of atmosphere noise which enhances the likelihood of detection of hazardous objects.

2. Radiolocation portrait of an asteroid

The longitudinal asteroids dimension (10-100 m) is determined by the radar signature duration with the use of ultrashort ($\sim 3 \text{ ns}$) RF pulses providing range resolution $\sim 0.5 \text{ m}$.

The radar systems using the traditional narrow-band long-duration signals do not enable us to estimate the linear dimensions of the cosmic objects with the desired precision due to insufficient resolution. For the foregoing purposes one needs probing by high-resolution signals. In radiolocation the signals with large absolute width of spectrum Δf are defined as high-resolution ones if they have high distance resolving power $\Delta r \approx 2c/\Delta f \ll L$ where *c* is the speed of light and *L* is the characteristic dimensions of the object reflecting signal [4]. At that, the value $c\tau_u$, where τ_u is the signal duration, has the meaning of the spatial length of the signal. These signals produce the radiolocation portrait of the object, that is, the response *x*(*t*) to high-resolution signal *x*₀(*t*) that is governed by the radial dimension ΔR of the illuminated side of the object (Fig. 1).

The radiolocation portrait represents a target echo signal upon the condition of "superresolution" when the radar's distance resolution Δr is much less than the linear dimensions of the target. The process of transformation of the probing signal $x_0(t)$ to the reflected one x(t) can be



Fig. 1. The radar portrait-forming network.

described by the integral

$$x(t) = \int_{-\infty}^{\infty} x_0(t') h(t - t') dt'$$
(1)

with the kernel as the aggregate of "bright points", that is, the local surface patches of reflection [5]

$$h(t) = \sum_{i} h_i \delta(t - 2r_i/c), \tag{2}$$

where h_i is the intensity of reflection from the bright points composing the radiolocation portrait, r_i are the locations of these points on the object.

The individual character of radiolocation portraits allows us to use them for solving the pattern-recognition problem.

For the radial size ~ 10 m one has to ensure the distance resolution $\delta r \sim 0.5$ m (by comparison, the best resolution achieved at modern ground-based astronomical radars Goldstone and Aresibo is ~ 4 m [6]) which corresponds to the duration of probing radar signal pulse ~ 3.5 ns.

Registration and processing of these signals are a matter of considerable difficulties due to the broad band of frequencies they occupy. Nevertheless, the periodic character of the signal permits the use of the stroboscopic effect in radio engineering, emerging upon strobing of the signals by a sequence of window functions with closely spaced repetition frequency. The procedure for the microwave signals can be realized in a balanced mixer when a pulse signal of a heterodyne repeating the probing signal is injecting to the reference channel.

3. Stroboscopic transformation of reflected signals

Processing of reflected back ultrashort radio signals with wide frequency band can be achieved by periodic repetition of the probing signal followed by stroboscopic transformation of the reflected signals time scale by 10^3 – 10^5 times.

Advantages of this method for our application are as follows: bulk of amplification comes in a narrow frequency band (few kHz), that significantly simplifies the RF chain of receiver; the intermediate frequency (frequency shift between the carriers of probing and reflected signals) is formed naturally by the Doppler shift Ω when asteroid is moving towards the radar; this simplifies the receiver's hardware design (no need for additional high-frequency generator). Here $\Omega = 2V_r/c\omega_0$, where ω_0 is the carrier frequency of probing signal, V_r is the radial velocity of the asteroid; no need to create auto-shift of RF pulse necessary for stroboscopic transformation because the required shift ΔT is formed due to relative motion of the asteroid and the radar. Here $\Delta T = 2V_r/cT$, where *T* is the probing period; the transformed narrow-band signal can be transmitted to the base station for processing and analysis, if necessary.

The model of stroboscopic transformer which consists of a mixer multiplying the analyzed, x(t), and the strobing a(t) signals, and the low-pass filter (LPF) is presented in Fig. 2.

Fig. 3 illustrates the principle of stroboscopic transformation where

$$x(t) = \sum_{k=0}^{N} x_0(t - kT_1), \quad a(t) = \sum_{k=0}^{N} a_0(t - kT_2)$$
(3)



Fig. 2. The mathematical model of the stroboscopic transformer of the timescale.



Fig. 3. The stroboscopic transformation of the timescale of periodic signals.

are the input and strobing signals of the stroboscopic transformer, T_1 and T_2 are the periods of repetition of the signal and strobe, $\Delta T = T_2 - T_1 = T_1/N$ is the reading period, $\tau_k = k\Delta T$ is the shift of the strobing pulse in the *k*th period of the input signal, *N* is the coefficient of the spectral transformation. Usually, $N \gg 1$ and ranges from 10^4 to 10^6 . The capabilities of the stroboscopic transformation are best implemented in the oscillographic equipment.

For radar probing the microwave mixer is used as a multiplier and the radio signals (3) have complex-valued representations

$$\dot{x}(t) = \sum_{k=0}^{N} \dot{A}_{1}(t - kT_{1})e^{j\omega_{1}t}, \quad \dot{a}(t) = \sum_{k=0}^{N} \dot{A}(t - kT)e^{j\omega_{0}t}, \quad (4)$$

where $\dot{A}_1(t)$ and $\dot{A}(t)$ are the complex modulation envelopes of the input and gating radio impulses, ω_0 and *T* are the carrier frequency and the period of repetition of the probing signal, respectively.

The frequency shift $\Omega = \omega_1 - \omega_0 = 2\omega_0 V_r/c$ of the carrier frequency and the period decrease $T_1 = T(1-2V_r/c)$ will take place in the reflected signal at the expense of the asteroid's high radial speed V_r . This allows us to consider the circuit (Fig. 2) operating conditions as a radio impulse stroboscopic transformation [7] to low intermediate frequency Ω , reading increment $\Delta T = 2TV_r/c$ and the coefficient of the spectral transformation $N = T/\Delta T = c/2V_r$. The LPF in the circuit (Fig. 2) then should be replaced by

the narrow-band tracking filter selecting the Doppler frequency Ω .

The radial asteroid's velocity V_r must be measured independently by the narrow-band methods of finding the gravity center of the reflected signal. One of the effective methods for the estimating the radial velocity of an asteroid in real time is the method of fractional differentiation of a Doppler signal [8].

The characteristic profile of the signal's spectrum on LPF entry is shown in Fig. 4.

The radiolocation system must possess the capability of reorganizing the repetition period *T* for the probing signal in case of coincidence of the Doppler shift with the clock frequency of one of the harmonics and dependent on it spectral components.

In stroboscopic location it is convenient to choose of the same type the signal shapers of the probing, $\dot{s}(t)$, and strobing $\dot{a}(t)$ signals. It is assumed that the shapers operate both from the common collector of the carrier frequency ω_0 : $\dot{s}(t) \sim \dot{a}(t)$. In this case the radiolocation portrait of the object simulated by the aggregate of the bright points with consideration of the Doppler effect is described by the expression

$$\dot{x}(t) = \sum_{i} h_{i} e^{-j\omega\tau_{i}} \sum_{k=0}^{N} \dot{A}(t - 2r_{i}/c - kT_{1}) e^{j\omega_{1}t}.$$
(5)

The output signal of the radio impulse strobing circuit extracted by the filter in the vicinity of the frequency Ω in the case of the narrow probing strobing pulse is asymptotically $(N \rightarrow \infty)$ the narrow-band one [9]

$$y(t) = \frac{1}{2T} \operatorname{Re}\left\{ e^{j\Omega t} \int_{-\infty}^{\infty} \dot{A}_{1}(t') A^{*}\left(t - \frac{t'}{N}\right) dt' \right\}$$
(6)

and with the use of the single-type signal conditioners $\dot{s}(t)$ and $\dot{a}(t)$ is described by the relation

$$\dot{y}(t) \sim \sum_{i} h_{i} \dot{B}\left(\frac{t}{N} - 2r_{i}/c\right) e^{j(\Omega t + \varphi_{i})},\tag{7}$$

where

$$\dot{B}(t) = \frac{1}{2} \int_{-\infty}^{\infty} \dot{A}(t') A^*(t'-t) dt$$

is the modulation envelope of the autocorrelation function of the probing signal which provides ultra-high resolution.

Thus, provided the resolution of individual bright points on the asteroid's surface, the modulation envelope of the output signal of the radio impulse strobing circuit describes the radiolocation portrait of the object in the transformed time scale.

4. Estimate of asteroid's sizes

It is known that the characteristic feature of passive cosmic objects is their spin rotation due to drag-free flight [10]. The typical periods of rotation range from hours to minutes [11] whereas the fastest spinning of all currently observed asteroids has the period of 24.5 s [12].

The object surfaces reflecting the probing signal change their positions with asteroid's rotation. Measuring duration $\tau_m = 2\Delta R_m/c$ of the radiolocation portrait x(t) at different aspect angles and averaging results of measurements one can get fairly accurate assessment of the mean



Fig. 4. The spectrum $|G_y(\omega)|$ of the signal y(t) on return of the stroboscopic mixer for $\Omega < \pi/T$. Red color denotes the filtered spectral components. (For interpretation of the references to color in this figure caption, the reader is referred to the web version of this paper.)

radius of the cosmic object \tilde{r}

$$\tilde{r} \approx \frac{c}{2} \cdot \frac{1}{M} \sum_{m=1}^{M} \tau_m, \tag{8}$$

where τ_m is the radiolocation portrait duration at *m*th measurement, *M* is the number of measurements. For periodic probing the value *M* should be chosen from the condition $M = T_V/T$. Here T_V is the asteroid's rotation period (~ 10–100 min) that can be determined through repeatability of the radiolocation portrait, *F* is the repetition rate of the probing signal that has to be chosen in such way as to fulfill the condition M > 100-1000. In the presence of several rotational axes (tumbling) one should account for the largest period T_V .

Thus, to estimate the average size of the hazardous cosmic object one has to perform its probing by the periodic sequence of ultra-high-resolution signals of nanosecond duration and to get a radiolocation portrait by stroboscopic processing. Further one should chose the number M determined by repeatability of the radiolocation portraits and corresponding to the number of aspect angles of the object within the rotational period T_{ν} or within the largest period of rotation in case of tumbling. With that, one performs multiple metering of radiolocation portraits duration τ_m (m = 1, 2, ..., M) of the illuminated side of the object. Then the measured values au_m should be averaged over the number of measurements and then the mean object's radius is assessed by the half of average spatial length of the signal of the radiolocation portrait $\tilde{r} \approx 0.5 c \langle \tau_m \rangle$ and the linear dimension $L \approx 2\tilde{r}$.

5. Quantitative assessments

For the cosmic object measuring 50 m in diameter and the period of spin rotation of ~30 min the velocity spectrum width equals to ~0.1 m/s. The range resolution $\delta r \sim 0.5$ m can be provided by the probing signal duration of ~3 ns in the X band ($f_0 \sim 10$ GHz) with the signal's frequency band $\Delta f_0 \sim 300$ MHz. For the asteroid's radial velocity of ~20 km/s the Doppler frequency will then be $F_0 = 2f_0V_r/c \sim 1.2$ MHz.

The cutout of reflections on the line of sight, which is provided by the narrow antenna pattern, allows us to select the repetition rate of the signals $F \sim 100$ kHz (the signal's period ~ 10 µs). The time of measurement of the radiolocation portrait by the stroboscopic method (storage time) will be $T_{\Sigma} \sim 2$ ms, the sampling increment is then $\Delta T \sim 1.3$ ns, the coefficient of the spectral transformation equals to $N = c/2V_r \sim 75,000$ and the frequency

band of the output signal is $\Delta F = \Delta f / N \sim 4$ kHz at the carrier frequency ~ 1.2 MHz.

Using ordinary technical means this signal can be discretized, digitally filtered out and transmitted through the narrow-band communication channels.

6. Conclusions

- The use of suggested method of stroboscopic location makes it possible to compose radiolocation portraits of small asteroids in the transformed timescale. This allows us to estimate the dimensions of passive cosmic objects via duration of their radiolocation portraits with distance-independent accuracy. With that, the realization of the method does not require the special autoshift circuit which is used in the stroboscopic oscillographs because the strobe shift within the period is performed at the expense of the Doppler effect for the repetition frequency, which is usually neglected in radiolocation.
- 2. The method of stroboscopic location which makes it possible to estimate the longitudinal asteroids dimension serves as the complementary to the traditional methods used in the modern radar astronomy which aimed at determination of the cross sectional dimensions.
- 3. We plan to back the framework concept expressed in the present paper with proof by developing a 3D computer model of a the reflecting surface of a rotating asteroid.

Acknowledgments

We thank Vitaly Korolev for assistance with vectorizing the figures and Vladimir Levi for revision of the language. This work was supported by the Grants 13-01-97041rpovolzhie-a, 14-02-97001r-povolzhie-a from Russian Foundation for Basic Research.

This research was supported in part by the Russian Foundation for Basic Research under Grants 13-01-97041r-povolzhie-a and 14-02-97001r-povolzhie-a.

References

- D. Morrison, C.R. Chapman, D. Steel, R.P. Binzel, Impacts and the public: communicating the nature of the impact hazard, in: M.J.S. Belton, et al., (Eds.), Mitigation of Hazardous Comets and Asteroids, Cambridge University Press, Cambridge, United Kingdom, 2011, pp. 353–390.
- [2] G. Stokes (Ed.), Study to Determine the Feasibility of Extending the Search for Near-Earth Objects to Smaller Limiting Diameters, Report

of the NEO SDT, NASA, 2003, (http://neo.jpl.nasa.gov/neo/neore port030825.pdf).

- [3] D. Morrison, Defending the earth against asteroids: the case for a global response, Sci. Global Secur. 13 (2005) 87–103.
- [4] O.V. Lazorenko, L.F. Chernogor, The ultrawideband signals and physical processes. 2. Analysis methods and application, Radiophys. Radioastron. 13 (2) (2008) 166–194. (in Russian).
- [5] D.L. Moffatt, J.D. Young, A.A. Ksienski, H.C. Lin, C.M. Rhoads, Transient response characteristics in identification and imaging, IEEE Trans. Antennas Propag. 29 (2) (1981) 192–201.
- [6] L. Benner, Arecibo and goldstone radar observations of near-earth objects, in: IAWN Steering Group Meeting, 13–14 January 2014, 2014, (http://minorplanetcenter.net/IAWN/mpc-un/benner.iawn.jan2014.pdf).
- [7] V.D. Zakharchenko, Stroboscopic selection of broadband rf signals with coherent probing, in: CriMiCo 2011–21st International Crimean Conference: Microwave and Telecommunication Technology, Conference Proceedings, 2011, pp. 1124–1125 (in Russian).

- [8] V.D. Zakharchenko, I.G. Kovalenko, On protecting the planet against cosmic attack: ultrafast real-time estimate of the asteroid's radial velocity, Acta Astronaut. 98 (2014) 158–162.
- [9] V.D. Zakharchenko, Broadband microwave signal transformation in stroboscopic systems under coherent probing, in: CriMiCo 2009–19th International Crimean Conference: Microwave and Telecommunication Technology, Conference Proceedings, 2009, pp. 965–966 (in Russian).
- [10] S.J. Ostro, Radar observations of Earth-approaching asteroids, Eng. Sci. 60 (2) (1997) 14–23.
- [11] P. Pravec, A.W. Harris, T. Michalowski, Asteroid Rotations, in: W.F. Bottke, et al., (Eds.), Asteroids III, University of Arizona Press, Tucson, 2002, pp. 113–122.
- [12] E.V. Ryan, NEO physical characterization: spin rates and spectra, in: IAWN Steering Group Meeting, 13–14 January 2014, 2014, http://minorplanetcenter.net/IAWN/mpc-un/ryan_iawn2014.pdf).